

**City of Kingston, NY**  
**RFP K26-18 – Reconnecting Communities: Reconfiguring US Route 9W in the City of Kingston –**  
**Planning and Engineering Services**

**REQUEST FOR PROPOSALS**

**RFP K26-18 Reconnecting Communities: Reconfiguring US Route 9W in the City of Kingston-**  
**Planning and Engineering Services**

Steven T. Noble	Mayor of the City of Kingston
Bartek Starodaj	Director of Housing Initiatives
John Schultheis	City Engineer

The City of Kingston, NY (“City”) is pleased to issue this Request for Proposals (RFP) for qualified Planning and Engineering firms to provide professional services for the reconfiguration of Route 9W in Kingston, NY.

**RFP K26-18 Release Date: April 28, 2026**

**Last day for questions: May 27, 2026**

**Proposals Due: June 5, 2026, 2:00 PM**

**Definitions:**

An “RFP” describes the situation or object for which the goods and or services are required, how they are expected to be used and/or problems that they are expected to address. Vendors are invited to propose solutions that will result in the satisfaction of the City’s objectives. The proposed solutions are evaluated against a predetermined set of criteria.

The term “Respondent” means any firm or individual submitting a response for the services listed in this RFP.

The term “response” means the material submitted by a Respondent in reply to this RFP.

**RFP/Project Contact Person:**

Bartek Starodaj  
Director of Housing Initiatives  
20 Broadway  
Kingston, NY 12401

**Telephone:** (845) 334-3928    **Email:** [bstarodaj@kingston-ny.gov](mailto:bstarodaj@kingston-ny.gov)

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**RECEIPT CONFIRMATION FORM**

**PLEASE COMPLETE AND RETURN THIS CONFIRMATION FORM WITHIN 5 WORKING DAYS OF RECEIVING THIS RFP SPECIFICATION TO:**

Bartek Starodaj  
City Hall, 20 Broadway  
Kingston, NY 12401  
Telephone: (845) 334-3928  
bstarodaj@kingston-ny.gov

***Failure to return this form may result in no further communication or addenda regarding this RFP.***

Company Name/Contact Person: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ EXT: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

I have received a copy of the above noted RFP Specification. Mark one choice below.

We plan to submit a PROPOSAL.

We DO NOT plan to submit a PROPOSAL (please indicate reason below).

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

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## **1.0 PROJECT PURPOSE**

The City of Kingston has received a Reconnecting Communities Grant from the U.S. Department of Transportation (Federal Award ID No. 6931132640467) to study the feasibility of reconfiguring the approximately 1.9 miles of Route 9W that traverse the City of Kingston, along with a 0.4-mile segment in the adjacent Town of Esopus and a 0.1-mile segment in the adjacent Town of Ulster.

The City seeks a qualified, multidisciplinary consultant team to provide planning, design, and community engagement services to assist the City in determining major programmatic elements of this potential reconfiguration, including but not limited to: reducing the highway from four lanes to two or three lanes, removing or altering intersections including a partial clover interchange, adding Complete Streets features, adding additional intersections, and reclaiming surplus right-of-way for development.

Based on the results of this study, the City will seek capital funds to implement physical and programmatic recommendations.

## **2.0 ABOUT 9W**

Route 9W, owned and controlled by NYSDOT, runs north to south through the city, separating the eastern third from the rest of the city, including from all three of Kingston’s business districts. The arterial is at least four lanes wide, expanding at times to as many as six lanes where it intersects with City streets. At points in the corridor, the ROW stretches to over 200ft. There are four bridges: 9W over Rondout Creek, Delaware Avenue over 9W, 9W over Hooker Street, and the Empire State Trail/Kingston Greenline over 9W (Figure 1).

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Figure 1 - Diagram of Route 9W in Kingston

In the Rondout neighborhood, 9W separates the Kingston Housing Authority properties from the downtown business district. The Rondout is also the neighborhood that was most impacted by the widespread “slum clearance” by the Kingston Urban Renewal Agency, and the highway is a direct legacy of Kingston’s urban renewal era in the 1960s and 1970s. The belief was that construction of the highway would lead to new commercial development on lands that had just been cleared and that 9W would become an important north-south link between New York City and Albany. Much of that development never occurred and the roadway never reached that level of importance owing to the buildout of the NYS Thruway. The highway was also built in part to reduce the City’s financial obligations to the federal government for urban renewal expenses, and not necessarily because of the city’s transportation needs.

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Per daily traffic counts by NYS DOT, daily traffic volume has trended downward for years and the highway currently serves approximately 16,000 vehicles.

**3.0 SCOPE OF WORK**

The firm will complete the following:

**1. Existing Conditions & Baseline Analysis**

The selected firm will develop a comprehensive understanding of current conditions along the Route 9W corridor.

- a. Review of background materials
  - i. Review any relevant planning studies and standards
  - ii. Compile existing traffic counts and crash data, as available
  - iii. Obtain 9W construction plans and as-built data (available from City and NYSDOT)
- b. Data Collection
  - i. Existing roadway geometry, right-of-way constraints, and infrastructure condition
  - ii. Multimodal conditions (pedestrian, bicycle, transit access and safety)
  - iii. Safety analysis
  - iv. Environmental constraints (flood risk, air/noise impacts)
  - v. Land use economic context throughout the study area, including development trends, underutilized parcels, and property ownership
  - vi. Topography of roadway and surrounding area (200-ft from ROW) may be obtained from publicly available LIDAR data.
- c. Demand analysis
  - i. Existing and projected roadway volumes
  - ii. Travel patterns, including local and regional connectivity

Deliverable

- Existing conditions report

**2. Community Engagement & Stakeholder Coordination**

The selected firm will design and implement an inclusive engagement process. Expected activities include:

- a. Engagement Plan
  - i. Develop a public engagement strategy with clear goals, audiences, and tools
- b. Advisory Committee

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- i. Establish and facilitate a Project Advisory Committee (PAC) that includes residents, businesses, institutions, and corridor users
- ii. Conduct regular meetings throughout the study term (minimum 3)
- c. Public Outreach
  - i. Public workshops at key intervals (up to 3 expected)
- d. Agency Coordination
  - i. Ongoing coordination with New York State Department of Transportation (multiple departments likely), Ulster County Transportation Council, and other relevant agencies such as DEC
  - ii. Coordination with adjacent municipalities (Town of Ulster and Town of Esopus)

#### Deliverables

- Engagement Plan, meetings and coordination
- Meeting schedule, materials, and outputs
- Summary of stakeholder comments and next steps / potential obstacles

### **3. Alternatives Development**

The selected firm will develop a range of feasible design and policy alternatives.

- a. Concept Development – Up to 4 Alternatives
  - i. Each alternative should include:
    1. Lane reduction / road diet concepts
    2. Complete Streets configurations
    3. Interchange reconfiguration or removal (Delaware Avenue partial cloverleaf)
    4. Enhanced local street network connections
    5. Transit lanes and stops
- b. Land Use & Placemaking
  - i. Evaluate opportunities for reclaimed land to be used for housing, mixed-use development, or other placemaking amenities to serve adjacent neighborhoods.
  - ii. Consider economic development potential and fiscal impacts
- c. Ownership & Governance Scenarios
  - i. Evaluate implications of roadway jurisdiction transfer from New York State Department of Transportation to the City
  - ii. Identify legal, financial, and operational considerations
- d. Evaluation of Alternatives
  - i. Potential evaluation criteria for the consultant to evaluate alternatives includes:
    1. Safety for all uses
    2. Mobility & Accessibility across modes
    3. Economic development and housing potential

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4. Environmental impacts
5. Cost and constructability, including maintenance costs

Deliverables

- Conceptual design plans (plans, typical sections, profiles, conceptual structure plans (bridges if added / altered) renderings, conceptual drainage plans, conceptual utility alteration plans (sanitary sewer), conceptual signalization plans)
- Construction cost estimates
- Alternatives evaluation matrix with technical memoranda supporting consultant's analysis

**4. Preferred alternative**

The consultant will refine a preferred alternative and define an implementation pathway.

- a. Preferred Concept
  - i. Refine selected alternative based on feedback and analysis
  - ii. Develop to a concept-level design (e.g., 10–20% design)
- b. Implementation Plan
  - i. Phasing strategy
  - ii. Overview of funding opportunities
  - iii. Cost ranges

Deliverables

- Final report with the preferred alternative
- All supporting data and GIS files

**4.0 LINKS**

[9W Construction Plans C. 1977](#)

[City of Kingston's Reconnecting Communities Grant Application](#)

[City of Kingston's Reconnecting Communities Grant Application – Letters of Support](#)

[Daily Freeman Editorial – Opposition to Route 9W Highway August 1976](#)

[Daily Freeman – “Arterial is Defended” August 1976](#)

[Daily Freeman – “Arterial is Defended” ctd August 1976](#)

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**5.0 BUDGET REQUIREMENTS**

A lump-sum, not-to-exceed amount should be provided with an itemized budget that includes all costs associated with each of the four tasks identified in the Scope of Work.

The City’s total budget is \$300,000.00.

**6.0 CONTRACT PERIOD**

The successful Respondent will execute a contract with the City of Kingston in substantial conformance with this RFP and the City of Kingston Agreement for Professional Services. The award term is expected to commence in late summer/fall 2026. The project is anticipated to last approximately 12 months.

This project is funded by funds provided by the U.S. Department of Transportation with matching funds from the City of Kingston.

**7.0 SCHEDULE OF PERTINENT DATES**

Listed below are specific and estimated dates and times of actions related to this RFP. In the event it is necessary to change the return date, the City will issue a supplemental addendum.

<b>Dates</b>	<b>Milestone</b>
April 28, 2026	RFP advertised
May 27, 2026	Last day to submit written inquiries
May 28, 2026	Addendum issued if any questions
June 5, 2:00PM EST	Due Date for Proposals
June/July 2026	Evaluation Committee reviews proposals
August/September	Contract Signing

**8.0 SUBMISSION REQUIREMENTS**

All Respondents to this RFP are required to submit detailed information as set forth below. Responses that do not materially conform to this outline will not be considered. Additional material and information, as deemed appropriate by the Respondent, may be included in the submission package.

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6.1 Receipt confirmation form which follows the cover page of this RFP should be completed and sent to the City of Kingston immediately if planning on submitting a proposal. Failure to file this form may result in no further communications regarding this RFP.

6.2 RFP submissions must be in a sealed envelope addressed to:

Housing Initiatives C/O Bartek Starodaj  
20 Broadway  
Kingston, NY 12401

One the face of the envelope, please include:

- 1) Name and Address of Respondent
- 2) RFP K26-18

6.3 Responses are due and must be received no later than **June 5 at 2:00 PM EST, after which they will be publicly opened.**

6.4 Other Submission Guidelines:

- Respondents are required to complete, and include within their RFP submission, the Information Sheet and Affidavit of Non-Collusion that are included in this RFP.
- Proposals must be submitted on a portable USB data storage device such as a thumb drive, physically delivered or mailed to 20 Broadway. It is not necessary to include hard copy forms.
- Pages should be paginated.
- Illustrations may be included.
- The response will be evaluated on the basis of its content, not length. The proposal shall be clear, concise and include sufficient detail for effective evaluation.
- The City of Kingston will not be liable for any costs incurred by Respondents in the preparation of responses or for any work performed in connection therein.

6.5 Submittal Checklist - Submissions should include:

- Proposal with content as described in Section 9.0
- One (1) copy in portable storage device (thumb drive)
- Fee proposal in a separate document clearly labeled "Fee Proposal"
- Completed Affidavit of Non-Collusion found at the end of this RFP
- Completed Information Sheet found at the end of this RFP

**9.0 PROPOSAL CONTENT**

The proposal should include the following information in the order specified:

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- **TITLE PAGE:** Showing RFP number, responder's name, address, telephone, and Identification of the person(s) with the authority to represent and make legally binding commitments for the Respondent. Responder should also clearly identify the name(s) of the contact person responsible for inquiries, if different.
- **COVER LETTER:** A cover letter signed by the duly authorized member of the Respondent identified above.
- **QUALIFICATIONS AND EXPERIENCE:** Information about the Respondent and its qualifications for this project. Include information about prior engagements similar to that being solicited herein by the City. Provide names, resumes, and roles of all staff who will be involved in the project, including a description of the experience of each employee who has worked on similar projects.
- **PROPOSED PLAN:** Describe your approach to the reconfiguration of 9W, focusing on the four elements in the Scope of Work.
- **REFERENCES:** Provide a minimum of three (3) references, excluding the City of Kingston, for similar projects completed. Include contact name, telephone number, date of contract, dollar value of contract and brief description of the program.
- **TIMELINE:** Project Timeline: A schedule showing the Respondent's proposed timeframes for completing the tasks defined in the Scope of Work.
- **FEE/COST PROPOSAL:** Fee Proposal: An itemized budget including all costs associated with each of the tasks identified in the Scope of Work.
  - Administrative costs for printing, postage, next-day mail, photocopying, telephone, printing, and other reimbursable expenses must be detailed. Include a not-to-exceed estimate for completing the work described in the RFP. The Fee Proposal must be a document separate from the other parts of the proposal and appropriately identified as such.

## **10.0 EVALUATION CRITERIA**

All proposals will be evaluated and examined by a committee of representatives using multiple criteria. The project will be awarded to a qualified Respondent who, based on the committee's evaluation, submits the proposal that best meets the City's needs.

Proposals will be evaluated in accordance with applicable City of Kingston procurement policies and procedures. Evaluation will be performed to determine each Respondent's understanding of work to be performed, technical approach, and potential for completing the work as specified in the RFP components and ranking with competing Respondents.

The Evaluation Committee will choose an Respondent Team based upon a review of proposals using the following criteria:

- Project Understanding & Approach (30 points)

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- Understanding of the 9W Corridor challenges and opportunities
- Quality, clarity, and feasibility of the proposed methodology
- Integration of transportation, land use, and placemaking considerations
- Respondent's Expertise (50 points);
  - Experience with similar highway redesigns
  - Experience working with state-owned roadways
  - Demonstrated success advancing projects from planning to implementation
  - Experience with corridor land reclamation and redevelopment
  - Strength of team across field of transportation, land use planning, and economic development
- Community Engagement (15 points)
  - Experience with engaging diverse and historically underrepresented communities
  - Ability to translate public input into design decisions
  - Stakeholder facilitation skills
- Cost factors (5 points)
  - Clarity and transparency of budget
  - Demonstrated value

Minority and women-owned business enterprises are encouraged to apply.

## **11.0 METHOD OF AWARD**

The City will award this project to the Respondent whose total proposal, in the opinion of the City of Kingston, best meets the above-listed criteria.

A notice of award will not be binding upon the City until the contract has been fully executed by both parties; and the City's ability to enter into a contract with the notice of award recipient is contingent upon funding available to the City.

## **12.0 INQUIRIES**

All questions pertaining to this RFP are required to be made in writing no later than **May 27, 2026**. All questions must be **emailed to Bartek Starodaj at [bstarodaj@kingston-ny.gov](mailto:bstarodaj@kingston-ny.gov)**.

All substantive questions received by the above-mentioned deadline will receive a response in the form of an addendum issued no later than **May 28, 2026**.

The addendum will be sent to all Respondents who have registered to receive the RFP. Only an addendum from the City of Kingston will be considered official. Respondents are advised that the City of Kingston cannot ensure a response to any inquiries received after the due date for question submissions.

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**13.0 TERMS AND CONDITIONS**

**Instructions to Respondents: All submissions must be in accordance with this Request for Proposals.**

**RFP Information:** The information provided for Respondents is for informational purposes only. It may not be relied upon and does not constitute a representation or warranty by the City of Kingston, its representatives, employees, officers, agents, or consultants that the information contained therein is accurate or complete. No legal commitment, obligation, or liability of the City of Kingston or its representatives, employees, officers, agents, or consultants will arise by use of, or the information relating to, any of these materials.

**Revisions, Interpretations, Corrections:** Revisions, interpretations, or corrections of specifications made by the City of Kingston will be by addendum issued before the date set forth for the submission of responses to this RFP. Interpretations, corrections, or changes made in any other manner will not be binding, and Respondents will not rely upon such revisions, interpretations, corrections or changes.

**Conflict of Interest:** The City of Kingston’s employees and the immediate family of City of Kingston employees are not permitted to submit a response to this RFP. Furthermore, no official or employee of the City of Kingston will have any personal interest, direct or indirect, in this transaction, nor will any such elected or appointed official, department head, agent, or employee having such an interest participate in any decision, meeting, or evaluation or exert any opinion or influence relating to this transaction that affects his or her personal interests or the interests of any person or entity in which he or she is directly or indirectly interested.

**RFP Award Acceptance:** The City of Kingston reserves the right at all times to accept or reject in their sole discretion, any or all responses and to waive any defects or technicalities or advertise for new RFP responses where the acceptance, rejection, waiving, or advertising of such would be in the best interest of the City of Kingston. The RFP process may be terminated or modified without notice at any time.

**Notice of Acceptance or Rejection:** Notice by the City of Kingston regarding either acceptance or rejection of a response to this RFP will be deemed to have been sufficiently given when mailed to the Respondent, or his or her duly authorized representative, at the address indicated in the cover letter accompanying Respondent’s submission of a response to this RFP.

**Postponement or Cancellation:** The City of Kingston reserves the right to postpone or cancel this RFP, or reject all responses if, in its judgment, it deems such action to be in the best interest of the City.

In the event of a postponement or cancellation of this RFP, the City of Kingston will not be liable for any costs incurred by the Respondent in the preparation of their response or for any work performed in connection therein.

**14.0 INTERVIEWS**

If the Evaluation Committee determines necessary, interviews may be scheduled with selected Respondents as soon as possible after the initial evaluation. This will permit further evaluation and allow the Evaluation Committee to inquire further into the experience the Respondent has had on similar

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projects, willingness and ability to work closely with City of Kingston Staff and others, understanding of the various aspects of the requirements, ability to maintain a schedule and complete the services on time, and other matters deemed pertinent.

**15.0 ALTERNATE PROPOSALS**

The City of Kingston reserves the right to consider alternatives that are submitted by Respondents and that provide enhancements beyond the RFP requirements. Proposal alternatives may be considered if deemed to be in the best interest of the City. Respondents will clearly identify and explain in detail where such alternatives deviate from, or qualify the terms of, the proposal and specifications as issued.

**16.0 COMPLIANCE WITH LAWS, LICENSES AND PERMITS**

The Respondent(s) agree that they will fully comply with all applicable Federal, State and City policies, procedures, standards and laws, rules and regulations.

**17.0 PERSONNEL IDENTIFICATION**

All personnel must carry on their person photo identification (*e.g.*, City of Kingston Municipal Identification Card, New York State driver's license, employee identification badge, etc.) while on City of Kingston property, and must promptly show such identification when requested to do so by any City employee. Representatives of the City reserve the right to reject and bar from the facility, for good and sufficient reason, in the sole discretion of the City, any employee hired by the Respondent.

**18.0 INSURANCE**

The successful Respondent will bear sole liability for any claims of legal malpractice, professional misconduct or ethics charges arising out of its performance of duties specified herein.

The successful Respondent will agree to indemnify and hold the City of Kingston, its elected officials, and employees harmless against all loss, cost, or damage, on account of injury to person or damage to property as a result of any action or inaction of the successful Respondent or its representatives or agents or subcontractors in performance of this contract and against all fines, penalties or any other losses which the City will be obliged to pay or incur in connection with the performance of the work under the contract.

In addition, the successful Respondent will procure and maintain at his/her own expense and without expense to the City, insurance for liability for damages imposed by law, of the kinds and amounts hereinafter provided, in insurance companies authorized to do business in the State of New York covering all operations under the contract whether performed by the successful Professional or his/her subcontractors. Before the inception of this contract, the successful Professional will furnish to the City a Certificate of Insurance form(s) satisfactory to the City exhibiting compliance with this paragraph and providing that the policies will not be changed or canceled until thirty (30) days written notice has been given to the City. The types and limits of insurance will be as follows:

- a) Workers Compensation as required by Law
- b) Disability Benefits as required by Law

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- c) Professional Liability \$2,000,000.00 (identified as a claim made or an occurrence policy)

## **19.0 DISQUALIFICATION**

The City reserves the right to refuse to issue an award to Respondents that fail to comply with any pre-qualification regulations of the City, if any such regulations or requirements are cited, or otherwise included in the Request for Proposal.

Proposals received from Respondents who have previously failed to complete contracts within the time required, or who have previously performed similar work in an unsatisfactory manner, may be rejected. A proposal may be rejected if the Respondent cannot show that it has the necessary ability, resources, and qualified employees to commence the work at the time prescribed and thereafter to perform and complete the work at the rate or within the time specified. A proposal may be rejected if the Respondent is already obligated for the performance of other work that would delay the commencement, performance, or completion of the work described in this RFP.

## **20.0 FREEDOM OF INFORMATION**

The Respondent agrees that the contents of any client file and all work product generated in performance of the duties specified herein shall be made available to the City upon request.

The Respondent further agrees to comply with the Freedom of Information Law (FOIL) and such rules and regulations as the City and the State may from time to time make, including, but not limited to, such rules as may be devised governing access to public documents pursuant to Article 6 of the Public Officers Law, popularly known as the Freedom of Information Law.

Proposals submitted in response to this RFP will be considered public documents and, with limited exceptions, all proposals, including proposals that are recommended for award, will be available for inspection and copying by the public.

All RFP submission materials become the property of the City of Kingston. Proposal submission material will generally be made available for inspection and copying by interested parties upon written request, except when exempted from disclosure under the New York State Freedom of Information Law. The City of Kingston is subject to the New York State Freedom of Information Law, which governs the process for the public disclosure of certain records maintained by the City of Kingston. Individuals or firms that submit proposals to the City of Kingston may request that the City except all or part of such a proposal from public disclosure, on the grounds that the proposal contains trade secrets, proprietary information, or that the information, if disclosed, would cause substantial injury to the competitive position of the individual or firm submitting the information. Such exception may extend to information contained in the request itself, if public disclosure would defeat the purpose for which the exception is sought. The request for exception must be in writing and state, in detail, the specific reasons for the requested exception. It also must specify the proposal or portions thereof for which the exception is requested. If the City of Kingston grants the request for exception from disclosure, the City will keep such proposal or portions thereof in secure facilities.

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**21.0 AFFIDAVIT OF NON-COLLUSION**

The completion AND submission of the Affidavit of Non-Collusion, which is included with this RFP and is required with the submittal, certifies that the prices in the submitted proposal have been arrived at independently without collusion, consultation, communication, or agreement for the purpose of restricting competition, as to any matter relating to such prices with any other Respondent or competitor.

**22.0 SUSPENSION AND DEBARMENT**

By submitting a proposal in response to this RFP, each Respondent warrants that neither it nor any of its officers, employees, subcontractors, or agents is excluded or in any other manner barred from doing business with any federal, state, or local agency, municipality, or department. Any misrepresentation or false statement related to a Respondent's status in this regard will result in rejection of such Respondent's submission.

In addition, if the successful Respondent or any of its officers, employees, subcontractors, or agents become excluded or barred in any manner from doing business with any federal, state, or local agency, municipality, or department, during the period in which goods and/or services are provided pursuant to this RFP, the successful Respondent agrees to immediately notify the City's Corporation Counsel of such status. Any misrepresentation or false statement related to the successful Respondent's status in this regard, or any failure by the successful Respondent to immediately notify the City's Corporation Counsel of any change in such status, will result in immediate termination of the City's business relationship with the successful Respondent in addition to such other remedies as may be provided by law, in equity, pursuant to the terms and conditions of this RFP document, or the conditions of the contract, if any, resulting from this RFP.

**23.0 IMPLIED REQUIREMENTS**

Products and services which are not specifically requested in this RFP, but which are necessary to provide a complete program/project as described herein, will be included in the submitted proposal.



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**PLEASE RETURN THE FOLLOWING SHEETS**  
**WITH YOUR PROPOSAL**

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**INFORMATION SHEET**

**NAME:** \_\_\_\_\_

**ADDRESS:** \_\_\_\_\_

\_\_\_\_\_

**TYPE OF ENTITY:** Corp. \_\_\_\_\_ Partnership \_\_\_\_\_ Individual \_\_\_\_\_ LLC \_\_\_\_\_

***If a non-publicly owned Corporation:***

**NAME OF FIRM:** \_\_\_\_\_

**DATE OF ORGANIZATION:** \_\_\_\_\_

***If an LP, LLP, or LLLP:***

**PARTNERS:** \_\_\_\_\_

\_\_\_\_\_

**NAME OF PARTNERSHIP:** \_\_\_\_\_

**DATE OF ORGANIZATION:** \_\_\_\_\_

*\* If the business is conducted under an assumed name, a copy of the certificate required to be filed under the New York General Business Law must be attached.*

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**AFFIDAVIT OF NON-COLLUSION**

**NAME OF RESPONDENT:** \_\_\_\_\_  
\_\_\_\_\_

**BUSINESS ADDRESS:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I hereby attest that I am the person responsible within my firm for the final decision as to the prices(s) and amount of this proposal or, if not, that I have written authorization, enclosed herewith, from that person to make the statements set out below on his or her behalf and on behalf of my firm.

I further attest that:

1. The price(s) and amount of this proposal have been arrived at independently, without consultation, communication or agreement for the purpose of restricting competition with any other contractor, Respondent or potential Respondent.
2. Neither the price(s), nor the amount of this proposal, have been disclosed to any other firm or person who is a Respondent or potential Respondent on this project, and will not be so disclosed prior to proposal opening.
3. No attempt has been made or will be made to solicit, cause or induce any firm or person to refrain from responding to this RFP, or to submit a proposal higher than the proposal of this firm, or any intentionally high or non-competitive proposal or other form of complementary proposal.
4. The proposal of my firm is made in good faith and not pursuant to any agreement or discussion with, or inducement from any firm or person to submit a complementary proposal.
5. My firm has not offered or entered into a subcontract or agreement regarding the purchase of materials or services from any other firm or person, or offered, promised or paid cash or anything of value to any firm or person, whether in connection with this or any other project, in consideration for an agreement or promise by an firm or person to refrain from responding to this RFP or to submit a complementary proposal on this project.
6. My firm has not accepted or been promised any subcontract or agreement regarding the sale of materials or services to any firm or person, and has not been promised or paid cash or anything of value by any firm or person, whether in connection with this or any project, in consideration for my firm's submitting a complementary proposal, or agreeing to do so, on this project.
7. I have made a diligent inquiry of all members, officers, employees, and agents of my firm with responsibilities relating to the preparation, approval or submission of my firm's proposal on this project and have been advised by each of them that he or she has not participated in any communication, consultation, discussion, agreement, collusion, act or other conduct inconsistent with any of the statements and representations made in this affidavit.

**8. By submission of this proposal, I certify that I have read, am familiar with, and will comply with any and all segments of these specifications.**

**City of Kingston, NY**  
**RFP K26-18 – Reconnecting Communities: Reconfiguring US Route 9W in the City of Kingston –**  
**Planning and Engineering Services**

The person signing this proposal, under the penalties of perjury, affirms the truth thereof.

\_\_\_\_\_  
Signature & Title

\_\_\_\_\_  
Print Name & Title

\_\_\_\_\_  
Company Name

Date Signed \_\_\_\_\_ Federal ID \_\_\_\_\_